



DEPARTMENT OF THE NAVY  
NAVAL INTELLIGENCE ACTIVITY  
2000 NAVY PENTAGON  
WASHINGTON, DC 20350-2000

5041  
NIA-IG/5U109  
24 June 2015

From: Head, Naval Intelligence Activity  
To: Naval Inspector General (N6)

Subj: DOD IG 20150331-030612-CASE-02/NAVINGEN CASE 201500971/  
ONI CASE COL-2015-02

Ref: (a) (b) (6), (b) (7)(C) complaint of 26 Mar 15

Encl: (1) Naval Intelligence Activity Inspector General  
Preliminary Inquiry Report of 16 Jun 15

1. Reference (a) was submitted to the Department of Defense Hotline and subsequently forwarded to the Naval Intelligence Activity (NIA) Inspector General via the Naval Inspector General Hotline Tracking System (NIGHTS). (b) (6), (b) (7)(C) alleged that, between August 2011 and November 2013, (b) (6), (b) (7)(C) at the Office of Naval Intelligence (ONI) (b) (6), (b) (7)(C)

(b) (6), (b) (7)(C) further stated that (b) (6), (b) (7)(C) filed a complaint with ONI in 2013, but no investigation had been conducted.

2. Enclosure (1) provides the results of our preliminary inquiry (PI). Based on the documentation reviewed and information provided during the course of the PI, no further investigation is warranted and we consider this case closed.

3. My point of contact for this case is (b) (6), (b) (7)(C) (NIA-IG2), COMM (b) (6) email address (b) (6), (b) (7)(C)@navy.mil.

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

By direction

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Office of the Naval Intelligence Activity Inspector General  
Case Number: 201500971  
Preliminary Inquiry Report  
16 June 2015

1. Preliminary Statement

a. **Hotline Control Number(s), Date(s) of Receipt, and Tasking Date(s).** DoD IG 20150331 030612-CASE-02 was forwarded to the Naval Inspector General (NAVINSGEN) on 2 April 2015 and assigned NAVINSGEN Case 201500971. The complaint was then forwarded to the Naval Intelligence Activity (NIA) Inspector General (IG) via the Naval Inspector General Hotline Tracking System (NIGHTS) for a preliminary inquiry (PI). Due to system-related issues, NIA IG personnel were unable to access NIGHTS until 13 April; at which time, the complaint was assigned Case COL-2015-02.

b. **Summary of Complaint.** On 26 March 2015, a known complainant, (b) (6), (b) (7)(C) [REDACTED], Office of Naval Intelligence (ONI) (b) (6), (b) (7)(C) [REDACTED] contacted the DoD Hotline to report intelligence-related issues involving a national security program. (b) (6), (b) (7)(C) [REDACTED] alleged that, between August 2011 and November 2013, (b) (6), (b) (7)(C) [REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]

(b) (6), (b) (7)(C) [REDACTED] further stated that (b) (6), (b) (7)(C) [REDACTED] filed a complaint with ONI in 2013, but ONI has not started an investigation.

c. **Identified Subjects**

(1) (b) (6), (b) (7)(C) [REDACTED]  
[REDACTED]  
[REDACTED]

(2) (b) (6), (b) (7)(C) [REDACTED]  
[REDACTED]

(3) (b) (6), (b) (7)(C) [REDACTED]  
[REDACTED]

(4) (b) (6), (b) (7)(C) [REDACTED]  
[REDACTED]

(b) (6), (b) (7)(C) [REDACTED] complaint to DoD IG did not identify specific instances/examples of wrongdoing (b) (6), (b) (7)(C) [REDACTED] by individual subjects. The NIA IG previously interviewed the complainant during a 2013 Command-directed PI

into the same matter and (b) (6), (b) (7) was unable to identify specific wrongdoing for any of the subjects other than to provide

(b) (6), (b) (7)(C)

Therefore, for purposes of this report, this matter will be addressed as an issue.

2. (b) (6), (b) (7)(C) 2013 Hotline Complaint. (b) (6), (b) (7)(C) filed a Hotline complaint with the ONI IG in 2013. During (b) (6), (b) (7) initial visit with the IG's investigator, (b) (6), (b) (7)(C) alleged that (b) (6), (b) (7)(C)

(b) (6), (b) (7)(C) also alleged that (b) (6), (b) (7)(C)

The complaint was closed during the PI phase because the issues (b) (6), (b) (7)(C) raised were more appropriately addressed by the Command vice the Hotline Program. Moreover, (b) (6), (b) (7)(C) which is currently pending hearing. (b) (6), (b) (7) had also submitted (b) (6), (b) (7)(C)

. In (b) (6), (b) (7) request (b) (6), (b) (7)(C)

### 3. Summary of Issues

#### a. Issue #1. (b) (6), (b) (7)(C)

Recommended Course of Action: The matter does not warrant further investigation.

4. Issue #1 Applicable Standard

(b) (6), (b) (7)(C) [REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]

5. Issue #1 Findings of Fact

a. (b) (6), (b) (7)(C) [REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]

b. (b) (6), (b) (7)(C) [REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]

c. (b) (6), (b) (7)(C) [REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]

d. Three of the four subjects identified in (b) (6), (b) (7)(C) complaint are no longer affiliated with (b) (6), (b) (7)(C). (b) (6), (b) (7)(C)  
[REDACTED]  
[REDACTED]

(b) (6), (b) (7)(C) [REDACTED]

e. Based on information provided in (b) (6), (b) (7)(C)

(1) (b) (6), (b) (7)(C) [REDACTED]

(a) (b) (6), (b) (7)(C)

[illegible]

(c) (b) (6), (b) (7)(C) [REDACTED]

(d) (b) (6), (b) (7)(C)

(e) (b) (6), (b) (7)(C)

(f) (b) (6), (b) (7)(C)

(b) (6), (b) (7)(C) [REDACTED]

(2) (b) (6), (b) (7)(C) [REDACTED]

(3) (b) (6), (b) (7)(C) [REDACTED]

(4) (b) (6), (b) (7)(C) [REDACTED]

(b) (6), (b) (7)(C)

f. (b) (6), (b) (7)(C)

## 6. Issue #1 Analysis

[illegible]

b. (b) (6), (b) (7)(C)



extensive efforts of COL personnel, the contractor, and COL's mission partners. While (b) (6), (b) (7)(C) felt the contractor had not (b) (6), (b) (7)(C)

[REDACTED]

c. (b) (6), (b) (7)(C)

[REDACTED]

#### 7. Issue #1 Conclusion

This issue warrants no further investigation. (b) (6), (b) (7)(C)

[REDACTED]

#### 8. Specification of Security Classification of Information.

This report is Unclassified/For Official Use Only.

#### 9. Investigator and Location of Field Working Papers

##### a. Investigator and Identifying Information.

(b) (6), (b) (7)(C) Assistant Inspector General for Investigations (NIA IG2); Office of the Inspector General; Naval Intelligence Activity; Tel: (b) (6); NIPR e-mail (b) (6), (b) (7)(C).navy.mil.

##### b. Location of Working Papers

(1) Head, Naval Intelligence Activity, Office of the Inspector General; Attn: NIA IG2; 4251 Suitland Road, Washington, DC 20395

(2) Naval Inspector General Hotline Tracking System (NIGHTS) Case 201500971

## 10. Interviews and Documents

### a. Interviews Conducted

(1) (b) (6), (b) (7)(C) (complainant); (b) (6), (b) (7)(C)

(Numerous email exchanges, phone calls, and meetings between January 2013 and early April 2015)

(2) (b) (6), (b) (7)(C) (witness); (b) (6), (b) (7)(C)

(3) (b) (6), (b) (7)(C) (b) (6), (b) (7)(C) (witness); (b) (6), (b) (7)(C)

### b. Documents Reviewed

(1) (b) (6), (b) (7)(C)

(2) (b) (6), (b) (7)(C)

(3) (b) (6), (b) (7)(C)

(4) (b) (6), (b) (7)(C)

(5) (b) (6), (b) (7)(C)

(6) (b) (6), (b) (7)(C)

(7) (b) (6), (b) (7)(C)

(8) (b) (6), (b) (7)(C)

(9) Emails and other documentation provided by [REDACTED]

[REDACTED] [REDACTED] [REDACTED] [REDACTED]